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**CORRECTED VERSION**

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**WO 00/078996 A1**

- (51) International Patent Classification<sup>7</sup>: C12Q 1/18. (81) Designated States (*national*): AE, AG, AL, AM, AT, AU, 1/70, 1/68, C12N 15/85, 15/49 AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CU, CZ, DE, DK, DZ, EE, ES, FI, GB, GE, GH, GM, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW.
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- (74) Agent: WHITE, John, P.; Cooper & Dunham LLP, 1185 Avenue of the Americas, New York, NY 10036 (US).
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- (48) Date of publication of this corrected version:  
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For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.



**WO 00/078996 A1**

(54) Title: MEANS AND METHODS FOR MONITORING PROTEASE INHIBITOR ANTIRETROVIRAL THERAPY AND GUIDING THERAPEUTIC DECISIONS IN THE TREATMENT OF HIV/AIDS

(57) Abstract: This invention relates to antiviral drug susceptibility and resistance tests to be used in identifying effective drug regimens for the treatment of human immunodeficiency virus (HIV) infection and acquired immunodeficiency syndrome (AIDS), particularly treatment regimens including a protease inhibitor. The invention further relates to the means and methods of monitoring the clinical progression of HIV infection and its response to antiretroviral therapy using phenotypic or genotypic susceptibility assays.

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US00/17178

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(7) :C12Q 1/18, 1/70, 1/68; C12N 15/85, 15/49  
US CL :435/5, 6, 32, 320.1; 536/23.72

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 424/9.2, 201.1, 208.1, 93.2; 435/5, 69.1, 320.1

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

Please See Extra Sheet.

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	Database AIDSLINE, AN 1998:19160. TISDALE, M. et al. 'Genotypic and phenotypic analysis of HIV from patients on ZDV/3TC/amprenavir combination therapy'. Int Conf AIDS. 1998, Vol. 12. Abstract No. 32312, page 583.	1-12
A	US 5,766,842A (MELNICK et al) 16 June 1998.	13-24, 71-79
X	ROBERTS, N. A. Drug-resistance patterns of saquinavir and other HIV proteinase inhibitors. AIDS. 1995, Vol 9, Suppl 2, pages S27-S32, see whole document.	25-33, 45-47, 54-70
X	Database AIDSLINE, AN 1998:20452. HILL, A. et al. 'Low frequency of genotypic mutations associated with resistance to AZT and 3TC after combination treatment with indinavir'. Int Conf AIDS. 1998, Vol 12, Abstract No. 42197, page 812.	48-50, 51-56

Further documents are listed in the continuation of Box C.  See patent family annex.

* Special categories of cited documents:	"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be of particular relevance	"X"	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"B" earlier document published on or after the international filing date	"Y"	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Z"	document member of the same patent family
"O" document referring to an oral disclosure, use, exhibition or other means		
"P" document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search

21 SEPTEMBER 2000

Date of mailing of the international search report

13 OCT 2000

Name and mailing address of the ISA/US  
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**INTERNATIONAL SEARCH REPORT**

International application No.

PCT/US00/17178

**Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)**

This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
  
2.  Claims Nos.: because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
  
3.  Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

**Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)**

This International Searching Authority found multiple inventions in this international application, as follows:

Please See Extra Sheet.

1.  As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2.  As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3.  As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
  
4.  No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

**Remark on Protest**

The additional search fees were accompanied by the applicant's protest.



No protest accompanied the payment of additional search fees.

**INTERNATIONAL SEARCH REPORT**

International application No.  
PCT/US00/17178

**B. FIELDS SEARCHED**

Electronic data bases consulted (Name of data base and where practicable terms used):

US PATENTS, IBM TDB, JPO, EPO, DERWENT, MEDLINE, AIDSLINE, BIOSIS, EMBASE  
search terms: HIV, drug resist, codon, codons, mutat, amprenavir, nelfinavir, ritonavir, saquenavir, indinavir, 90, 73,  
77, 10, 20, 88, 77, 63, 46, 10, 82, 54, 24, protease, vector

**BOX II. OBSERVATIONS WHERE UNITY OF INVENTION WAS LACKING**

This ISA found multiple inventions as follows:

This application contains the following inventions or groups of inventions which are not so linked as to form a single inventive concept under PCT Rule 13.1. In order for all inventions to be searched, the appropriate additional search fees must be paid.

- Group I, claim(s)1-12, drawn to Diagnostic method involving evaluating patient sample for mutation at codon 88.
- Group II, claim(s) 13-20, drawn to testing method involving introducing mutation at codon 88 into patient sample.
- Group III, claim(s) 21 and 79, drawn to method for evaluating viral fitness.
- Group IV, claims 22-44,68-70 drawn to Diagnostic method involving evaluating patient sample for mutation at codon 82.
- Group V, claim(s)71, 72, 75-78, drawn to testing method involving introducing mutation at codon 82 into patient sample.
- Group VI, claims 45-70, drawn to Diagnostic method involving evaluating patient sample for mutation at codon 90.
- Group VII, claim(s)73-75, 78, drawn to testing method involving introducing mutation at codon 90 into patient sample.

The inventions listed as Groups I-VII do not relate to a single inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons: Groups (I, IV, VI) and (II, V, VII) require different special technical features, because group (I, IV, VI) involves determination of whether or not a mutation exists at a specific codon in a patient sample, while group (II, V, VII) requires introducing a mutation at the specific codon into a patient sample. (I, II), (IV, V), and (VI, VII) all require different special technical features, because each requires analysis or mutation of a different specific codon. III does not share the same or corresponding special technical feature of group I, because it does not require the specific codon required in group I.

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US00/17178

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	YOUNG, B. et al. Resistance mutations in protease and reverse transcriptase genes of human immunodeficiency virus type 1 isolates from patients with combination retroviral therapy failure. J. Infectious Diseases. 1998, Vol. 178, pages 1497-1501, see especially page 1498.	34-44